

**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

**002**

**FIFTH THIRD SECURITIES, INC.  
MD 1M0B2A  
5050 KINGSLEY DRIVE  
CINCINNATI, OH 45263**

**SEC Rule 606 Quarterly Report for the Quarter Ending June 30, 2016**

**Securities Listed on New York Stock Exchange**

**Summary Statistics :**

|  |         |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total market orders         | 86.31%  |
| Limit orders as percentage of total limit orders           | 10.30%  |
| Other orders as percentage of total other orders           | 3.40%   |

**Venues Receiving Significant Percentage of Total Non-Directed Orders :**

|                                    |        |
|------------------------------------|--------|
| 1. KCG Americas LLC                | 37.80% |
| 2. Citadel Securities LLC          | 35.85% |
| 3. G1 Execution Services, LLC      | 14.00% |
| 4. BATS BZX Exchange               | 2.91%  |
| 5. EDGX Exchange, Inc.             | 2.40%  |
| 6. UBS Securities, LLC             | 1.46%  |
| 7. New York Stock Exchange         | 1.03%  |
| 8. BATS BYX Exchange               | 1.02%  |
| 9. National Financial Services LLC | 0.98%  |
| 10. Instinet LLC                   | 0.77%  |

**Information Concerning Significant Venues :**

**1. KCG Americas LLC**

**Types of Orders Routed to Venue :**

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 39.55% |
| Limit orders as percentage of total limit orders   | 19.30% |
| Other orders as percentage of total other orders   | 49.51% |

**2. Citadel Securities LLC**

**Types of Orders Routed to Venue :**

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 38.27% |
| Limit orders as percentage of total limit orders   | 12.17% |
| Other orders as percentage of total other orders   | 45.87% |

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 15.85% |
| Limit orders as percentage of total limit orders   | 3.12%  |
| Other orders as percentage of total other orders   | 0.00%  |

4. BATS BZX Exchange

Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 0.00%  |
| Limit orders as percentage of total limit orders   | 28.10% |
| Other orders as percentage of total other orders   | 0.49%  |

5. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 0.00%  |
| Limit orders as percentage of total limit orders   | 23.30% |
| Other orders as percentage of total other orders   | 0.00%  |

6. UBS Securities, LLC

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 1.39% |
| Limit orders as percentage of total limit orders   | 1.44% |
| Other orders as percentage of total other orders   | 3.40% |

7. New York Stock Exchange

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 0.01% |
| Limit orders as percentage of total limit orders   | 9.93% |
| Other orders as percentage of total other orders   | 0.00% |

8. BATS BYX Exchange

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 1.16% |
| Limit orders as percentage of total limit orders   | 0.24% |
| Other orders as percentage of total other orders   | 0.00% |

9. National Financial Services LLC

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 1.02% |
| Limit orders as percentage of total limit orders   | 0.80% |
| Other orders as percentage of total other orders   | 0.49% |

10. Instinet LLC

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 0.85% |
| Limit orders as percentage of total limit orders   | 0.40% |
| Other orders as percentage of total other orders   | 0.00% |

## Securities Listed on The Nasdaq Stock Market

### Summary Statistics :

|  |         |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total market orders         | 85.77%  |
| Limit orders as percentage of total limit orders           | 10.13%  |
| Other orders as percentage of total other orders           | 4.11%   |

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

|                                    |        |
|------------------------------------|--------|
| 1. Citadel Securities LLC          | 37.11% |
| 2. KCG Americas LLC                | 34.71% |
| 3. G1 Execution Services, LLC      | 13.61% |
| 4. EDGX Exchange, Inc.             | 2.72%  |
| 5. BATS BZX Exchange               | 2.66%  |
| 6. Jane Street Capital, LLC        | 2.10%  |
| 7. UBS Securities, LLC             | 1.51%  |
| 8. BATS BYX Exchange               | 1.40%  |
| 9. National Financial Services LLC | 1.28%  |
| 10. NASDAQ Execution Services, LLC | 0.91%  |

### Information Concerning Significant Venues :

#### 1. Citadel Securities LLC

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 39.25% |
| Limit orders as percentage of total limit orders   | 15.17% |
| Other orders as percentage of total other orders   | 46.67% |

#### 2. KCG Americas LLC

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 36.48% |
| Limit orders as percentage of total limit orders   | 16.07% |
| Other orders as percentage of total other orders   | 43.70% |

#### 3. G1 Execution Services, LLC

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 15.58% |
| Limit orders as percentage of total limit orders   | 2.40%  |
| Other orders as percentage of total other orders   | 0.00%  |

#### 4. EDGX Exchange, Inc.

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 0.00%  |
| Limit orders as percentage of total limit orders   | 26.88% |
| Other orders as percentage of total other orders   | 0.00%  |

5. BATS BZX Exchange

Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 0.00%  |
| Limit orders as percentage of total limit orders   | 26.28% |
| Other orders as percentage of total other orders   | 0.00%  |

6. Jane Street Capital, LLC

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 2.45% |
| Limit orders as percentage of total limit orders   | 0.00% |
| Other orders as percentage of total other orders   | 0.00% |

7. UBS Securities, LLC

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 1.37% |
| Limit orders as percentage of total limit orders   | 0.60% |
| Other orders as percentage of total other orders   | 6.67% |

8. BATS BYX Exchange

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 1.61% |
| Limit orders as percentage of total limit orders   | 0.15% |
| Other orders as percentage of total other orders   | 0.00% |

9. National Financial Services LLC

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 1.19% |
| Limit orders as percentage of total limit orders   | 1.95% |
| Other orders as percentage of total other orders   | 1.48% |

10. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 0.00% |
| Limit orders as percentage of total limit orders   | 8.86% |
| Other orders as percentage of total other orders   | 0.37% |

## Securities Listed on NYSE MKT or Regional Exchanges

### Summary Statistics :

|  |         |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total market orders         | 96.07%  |
| Limit orders as percentage of total limit orders           | 3.41%   |
| Other orders as percentage of total other orders           | 0.51%   |

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

|                               |        |
|-------------------------------|--------|
| 1. Citadel Securities LLC     | 34.82% |
| 2. KCG Americas LLC           | 34.09% |
| 3. G1 Execution Services, LLC | 16.65% |
| 4. Jane Street Capital, LLC   | 7.72%  |
| 5. UBS Securities, LLC        | 1.09%  |
| 6. BATS BYX Exchange          | 1.05%  |
| 7. EDGX Exchange, Inc.        | 1.01%  |
| 8. BATS BZX Exchange          | 0.95%  |
| 9. Instinet LLC               | 0.72%  |
| 10. Two Sigma Securities, LLC | 0.67%  |

### Information Concerning Significant Venues :

#### 1. Citadel Securities LLC

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 35.52% |
| Limit orders as percentage of total limit orders   | 11.78% |
| Other orders as percentage of total other orders   | 56.67% |

#### 2. KCG Americas LLC

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 34.85% |
| Limit orders as percentage of total limit orders   | 13.28% |
| Other orders as percentage of total other orders   | 31.67% |

#### 3. G1 Execution Services, LLC

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 17.25% |
| Limit orders as percentage of total limit orders   | 2.26%  |
| Other orders as percentage of total other orders   | 0.00%  |

#### 4. Jane Street Capital, LLC

##### Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 8.03% |
| Limit orders as percentage of total limit orders   | 0.25% |
| Other orders as percentage of total other orders   | 0.00% |

5. UBS Securities, LLC

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 1.06% |
| Limit orders as percentage of total limit orders   | 1.00% |
| Other orders as percentage of total other orders   | 6.67% |

6. BATS BYX Exchange

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 1.09% |
| Limit orders as percentage of total limit orders   | 0.25% |
| Other orders as percentage of total other orders   | 0.00% |

7. EDGX Exchange, Inc.

Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 0.00%  |
| Limit orders as percentage of total limit orders   | 29.32% |
| Other orders as percentage of total other orders   | 1.67%  |

8. BATS BZX Exchange

Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 0.00%  |
| Limit orders as percentage of total limit orders   | 27.82% |
| Other orders as percentage of total other orders   | 0.00%  |

9. Instinet LLC

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 0.73% |
| Limit orders as percentage of total limit orders   | 0.50% |
| Other orders as percentage of total other orders   | 0.00% |

10. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 0.67% |
| Limit orders as percentage of total limit orders   | 0.75% |
| Other orders as percentage of total other orders   | 0.00% |

## Exchange - Listed Options

### Summary Statistics :

|  |         |
|--|---------|
| Non-directed orders as percentage of total customer orders | 100.00% |
| Market orders as percentage of total market orders         | 45.66%  |
| Limit orders as percentage of total limit orders           | 45.66%  |
| Other orders as percentage of total other orders           | 8.68%   |

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

|   |        |
|---|--------|
| 1. The Chicago Board Options Exchange     | 24.55% |
| 2. NASDAQ PHLX Options                    | 19.46% |
| 3. NYSE Arca Options                      | 17.07% |
| 4. The MIAX Options Exchange              | 13.32% |
| 5. BZX Options Exchange, Inc.             | 11.83% |
| 6. NASDAQ Options Market                  | 6.29%  |
| 7. BOX Option Exchange                    | 4.04%  |
| 8. NYSE Amex Options                      | 1.35%  |
| 9. ISE Gemini Options Exchange            | 0.90%  |
| 10. The International Securities Exchange | 0.45%  |

### Information Concerning Significant Venues :

#### 1. The Chicago Board Options Exchange

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 37.38% |
| Limit orders as percentage of total limit orders   | 7.87%  |
| Other orders as percentage of total other orders   | 44.83% |

#### 2. NASDAQ PHLX Options

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 27.21% |
| Limit orders as percentage of total limit orders   | 7.21%  |
| Other orders as percentage of total other orders   | 43.10% |

#### 3. NYSE Arca Options

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 0.00%  |
| Limit orders as percentage of total limit orders   | 37.38% |
| Other orders as percentage of total other orders   | 0.00%  |

#### 4. The MIAX Options Exchange

##### Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 25.57% |
| Limit orders as percentage of total limit orders   | 3.61%  |
| Other orders as percentage of total other orders   | 0.00%  |

5. BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 0.33%  |
| Limit orders as percentage of total limit orders   | 25.57% |
| Other orders as percentage of total other orders   | 0.00%  |

6. NASDAQ Options Market

Types of Orders Routed to Venue :

|  |        |
|--|--------|
| Market orders as percentage of total market orders | 0.00%  |
| Limit orders as percentage of total limit orders   | 13.77% |
| Other orders as percentage of total other orders   | 0.00%  |

7. BOX Option Exchange

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 5.90% |
| Limit orders as percentage of total limit orders   | 2.62% |
| Other orders as percentage of total other orders   | 1.72% |

8. NYSE Amex Options

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 1.97% |
| Limit orders as percentage of total limit orders   | 0.00% |
| Other orders as percentage of total other orders   | 5.17% |

9. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 0.33% |
| Limit orders as percentage of total limit orders   | 1.64% |
| Other orders as percentage of total other orders   | 0.00% |

10. The International Securities Exchange

Types of Orders Routed to Venue :

|  |       |
|--|-------|
| Market orders as percentage of total market orders | 0.33% |
| Limit orders as percentage of total limit orders   | 0.33% |
| Other orders as percentage of total other orders   | 1.72% |